



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/849,724	05/20/2004	Tetsuya Takiguchi	JP920030128US1

CONFIRMATION NO. 8657

FORMALITIES LETTER



OC000000013277591

On behalf of IBM CORPORATION
 Anne Vachon Dougherty, Esq.
 3173 Cedar Road
 Yorktown Heights, NY 10598

Date Mailed: 07/20/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

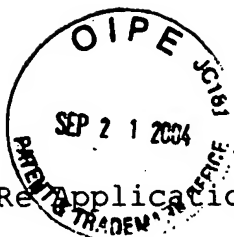
09/22/2004 H8UTENAI 00000052 500510 10849724
 01 FC:1051 130.00 DA

Abbas Belar

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



In Re Application of : September 20, 2004
T. Takiguchi, et al : Group Art No.: 2641
Serial No. 10/849,724 : Examiner: Not assigned
Filed: May 20, 2004 : for IBM Corporation
Anne Vachon Dougherty
Title: SPEECH RECOGNITION DEVICE, 3173 Cedar Road
SPEECH RECOGNITION METHOD, Yorktown Hts, NY 10598
COMPUTER-EXECUTABLE PROGRAM
FOR CAUSING COMPUTE TO EXECUTE
RECOGNITION METHOD AND STORAGE MEDIUM

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Application

Fee-Deposit Authorization

Declaration

Assignment and Recordation Form Cover Sheet

Acknowledgment Postcard

is being deposited with the United States Postal Service as
EXPRESS MAIL ED070746182US in an envelope addressed to:

**Commissioner for Patents
P.O. Box 1450
Alexandria, Va 22313-1450**

on September 20, 2004.

Anne Vachon Dougherty

Signature of person mailing

JP920030128US1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of : September 20, 2004
T. Takiguchi, et al : Group Art No.: 2641
Serial No. 10/849,724 : Examiner: Not assigned
Filed: May 20, 2004 : for IBM Corporation
Anne Vachon Dougherty
Title: SPEECH RECOGNITION DEVICE, 3173 Cedar Road
SPEECH RECOGNITION METHOD, Yorktown Hts, NY 10598
COMPUTER-EXECUTABLE PROGRAM
FOR CAUSING COMPUTE TO EXECUTE
RECOGNITION METHOD AND STORAGE MEDIUM

DEPOSIT AUTHORIZATION

Authorization is hereby given to charge the following fees to
Deposit Account No. 50-0510:

Fee for filing Missing Parts of Application (37 CFR 1.16(e))
in the amount of \$130.00.

Fee in the amount of \$40.00 for recordation of Assignment.

A duplicate copy of this authorization is enclosed.

Anne Vachon Dougherty
Name of authorized party

Anne Vachon Dougherty
Signature of authorized party